DEC 0 3 2001

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Attorney Docket No.: OUTT-011/01US

PATENT

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By: Dolores McKay

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Mark Henry Hartman, et al.

Serial No.: 09/777,512

Examiner:

Not assigned

Filed:

February 6, 2001

Art Unit:

1732

Conf. No. 8432

For:

MELT SPINNABLE CONCENTRATE PELLETS HAVING ENHANCED REVERSIBLE THERMAL PROPERTIES

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08 for the above-identified patent application.

[X]	In accordance with 37 C.F.R. §1.97(b), no additional fee for submissio the IDS is required.					
[]	In accordance with 37 C.F.R. §1.97(c), also enclosed is:					
	[] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or					
	[] a statement as specified in 37 C.F.R. §1.97(e).					
[]	In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.					
[]	Check No in the amount of \$ for the total fee is attached.					
[]	Please charge \$ to Deposit Account No. 03-3117 for the total fee. This paper is being submitted in duplicate.					

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Page 2

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated: 10 - (0 - 01

Cooley Godward LLP ATTN: Patent Group Five Palo Alto Square 3000 El Camino Real Palo Alto, CA 94306-2155

Tel: (650) 843-5000 Fax: (650) 857-0663

WSG:czl

Respectfully submitted, COOLEY GODWARD LLP

By:

William S. Galliani Reg. No. 33,885 Attorney Docket No.: OUTT-011/01US

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- [X] Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- [] No copies of the publications listed on the attached Form PTO/SB/08 are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.
- [] Publication(s) ___ listed on the attached Form PTO/SB/08 were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.
- [] Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- [] Enclosed is a copy of a non-English publication(s) ___. English language publication ___ (copy enclosed) claims priority from this non-English publication.

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Serial No.: 09/777,512

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[]	Enclosed is an explanation of non-English publication(s) for v	which an
	English translation is not available.	
۲٦	Enclosed is an English translation of non-English publication(s)	cited

[] Enclosed is a copy of pending patent Application Serial No.

in the attached Form PTO/SB/08.

This Information Disclosure Statement is filed within any one of the following time periods:

- [] within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- [] within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- [X] before the mailing date of a first office action on the merits; or
- [] before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08 to the undersigned.

Dated: 10-10-01

Cooley Godward LLP ATTN: Patent Group Five Palo Alto Square 3000 El Camino Real Palo Alto, CA 94306-2155

Tel: (650) 843-5000 Fax: (650) 857-0663

WSG:czl

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By:

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	INFORMATION DISCLOSURE			Application Number	09/777,512	
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			U.S. P	ATENT DOCUMENTS		
		U.S. Patent Document			Date of Publication of Cited	
Examiner Initials*	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Document MM-DD-YYYY	
	Pl	5,270,550	1	Martorana et al.	12-14-1993	
	P2	Re. 34,880		Salyer	03-21-1995	
	P3	5,945,217		Hanrahan	08-31-1999	
	P4	6,041,437		Barker et al.	03-28-2000	
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¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (08-00)

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country	T ²		
		where published.			
	DI	YVONNE G. BRYANT, "Melt Spun Fibers Containing Microencapsulated Phase Change Material," HTD-Vol. 363/BED-			
		Vol. 44, Advances in Heat and Mass Transfer in Biotechnology – 1999, ASME 1999.			
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